

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

M-5593-2C US

First Named Inventor or  
Application Identifier

Jonathan D. Reid, et al.

Title

Electroplating Process Chamber And Method With Pre-  
Wetting And Rinsing Capability

Express Mail Label No.

EL 710 206 326 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form - *see page 2 of this form.*  
(Submit an original, and a duplicate for fee processing)

## 2. Application:

- ☒ Specification: (preferred arrangement set forth below)  
Descriptive title of the Invention,  
Cross References to Related Applications,  
Reference to Microfiche Appendix,  
Background of the Invention,  
Brief Summary of the Invention,  
Brief Description of the Drawings, and  
Detailed Description (all totaling 10 pages)  
Appendix(ces) \_\_\_\_\_, & \_\_\_\_\_ (\_\_\_\_\_ pages)

- ☒ Claim(s) 4 pages

- ☒ Abstract of the Disclosure 1 page

3. ☒ Drawing(s) (35 USC 113) [Total Sheets 1]

4. Oath or Declaration ☐ unsigned [Total Pages 3]

- a. ☐ Newly executed (original or copy)

- b. ☒ Copy from prior application (37 CFR §1.63(d))  
(for continuation/divisional with Box 17 completed)

- c. ☐ **DELETION OF INVENTOR(S)**

Signed statement attached deleting inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b)

5. ☒ Incorporation By Reference (useable if Box 4b is checked)

The entire disclosure of the prior application, from  
which a copy of the oath or declaration is supplied  
under Box 4b, is considered as being part of the  
disclosure of the accompanying application and is  
hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program Appendix  
consisting of \_\_\_\_\_ pages of microfiche containing \_\_\_\_\_  
frames on each page in accompanying envelope.

7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a. ☐ Computer Readable Copy  
b. ☐ Paper Copy (identical to computer copy)  
c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & documents) \_\_\_\_\_ pages

9. ☐ 37 CFR §3.73(b) Statement ☒ Power of Attorney  
(combined when there is an \_\_\_\_\_ with Patent Declaration  
Assignee) above.)

10. ☐ English Translation Document (if applicable)

11. ☒ Information Disclosure ☒ 17 Copies of IDS  
Statement (IDS) & ☐ PTO-1449 Citations/References

12. ☒ Preliminary Amendment 4 pages

13. ☒ Return Receipt Postcard (MPEP 503)  
(should be specifically itemized)

14. Small Entity Status

- ☐ Small Entity Statement Enclosed \_\_\_\_\_ pages  
☐ Statement filed in prior application; and  
status still proper and desired  
☐ Is no longer claimed.

15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16. ☒ Other:

- ☒ Request and Certification Under 35 USC 122(b)(2)(B)(I)  
☒ Submission of Formal Drawings (1 page)  
☒ 1 sheet formal drawing (Fig. 1).

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information and a preliminary amendment:

- ☒ Continuation ☐ Divisional of prior application No. 09/374,253

Filed on August 13, 1999, entitled: Electroplating Process Chamber And Method With Pre-Wetting And Rinsing  
Capability.

PRIOR APPLICATION INFORMATION: Examiner E. Smith-Hicks Group Art Unit 1741

## 18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Labelor ☒ Correspondence address below

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Reg. No. 25,557

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**19. Fee calculations.**

CLAIMS (Number Filed)	(1) FOR	(2)		(3) NUMBER EXTRA		(4) RATE		(5) CALCULATIONS
8	TOTAL CLAIMS (37 CFR 1.16(c))	-20	=	0	x	\$18	=	\$710.00
2	INDEPENDENT CLAIMS (37 CFR 1.16(b))	-3	=	0	x	\$80	=	\$ 0.00
<input type="checkbox"/>	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.18(d))					+	\$260.00	=
							BASIC FEE (37 CFR 1.16(a))	= \$ 710.00
							Total of above Calculations	= \$ 710.00
							Reduction by 50% for filing by small entity (Note 31 CFR 1.9, 1.27, 1.28)	=
							TOTAL	= \$ 710.00

20. **FEES:** The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. **19-2386**:

- a. ☒ Fees required under 37 CFR 1.16. (U.S. Application Filing Fees)  
 b. ☒ Fees required under 37 CFR 1.17. (Conditional Extension of Time Fees)  
 c. ☐ Fees required under 37 CFR 1.18. (Patent Issue Fees)

21. ☐ Other: \_\_\_\_\_

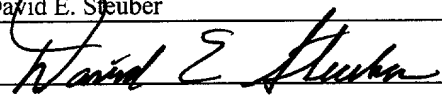
**NOTE:**

The prior application's correspondence address will carry over to this UPA UNLESS a new correspondence address is provided below.

**22. NEW CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label					<input type="checkbox"/> New correspondence address below				
NAME									
ADDRESS									
CITY				STATE		ZIP CODE			
COUNTRY			TELEPHONE			FAX			

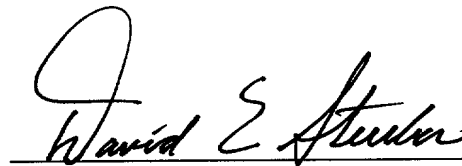
**23. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Skjerven Morrill MacPherson LLP 25 Metro Drive, Suite 700 San Jose, CA 95110 Tel. (408) 453-9200 Fax. (408) 453-7979	
Date:	April 9, 2001
Name	David E. Steuber Reg. No. 25,557
Signature	
Express Mail Label No.	EL 710 206 326 US

<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	Inventors	Reid, Jonathan D.; Taatjes, Steven W.; Contolini, Robert J.; Patton, Evan E.
	Title	Electroplating Process Chamber And Method With Pre-Wetting And Rinsing Capability
	Atty Docket Number	M-5593-2C US

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Date



David E. Steuber  
Attorney for Applicants  
Reg. No.: 25,557

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Contolini, Robert J.; Patton, Evan E.; Reid, Jonathan D.; Taatjes, Steven W.  
Assignee: Novellus Systems, Inc.  
Title: Electroplating Process Chamber And Method With Pre-Wetting And Rinsing Capability  
Serial No.: Unknown Filing Date: Herewith  
Examiner: Unknown Group Art Unit: Unknown  
Docket No.: M-5593-2C US

San Jose, California  
April 9, 2001

Box Patent Application  
Attn: Official Draftsperson  
COMMISSIONER FOR PATENTS  
Washington, D. C. 20231

**SUBMISSION OF FORMAL DRAWINGS**

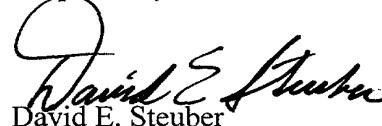
Dear Sir:

Applicants submit one (1) sheet of formal drawing, consisting of Figure 1, in the above-named application. If there are any questions regarding these drawings, please call the undersigned at (408) 453-9200.

EXPRESS MAIL LABEL NO:

EL 710 206 326 US

Respectfully submitted,



David E. Steuber  
Attorney for Applicant(s)  
Reg. No. 25,557

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